

APPLICATION FOR U.S. LETTER PATENT

Entitled:

Synthesis of Intermetallic Negative Electrodes  
for Lithium Cells and Batteries

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## CONTRACTUAL ORIGIN OF THE INVENTION

The United States Government has rights in this invention pursuant to Contract No. W-31-109-ENG-38 between the U.S. Department of Energy (DOE) and The University of Chicago representing Argonne National Laboratory.

## FIELD OF THE INVENTION

The present invention relates to rechargeable lithium cells commonly referred to as lithium-ion cells (and batteries) for powering devices such as cellular phones, laptop and hand-held computers and camcorders. The present invention is also used for larger applications such as stand-by energy storage, electric and hybrid-electric vehicles.

## BACKGROUND OF THE INVENTION

In rechargeable lithium-ion battery technology, the most common lithium-ion cell has the configuration  $\text{Li}_x\text{C}/\text{electrolyte}/\text{Li}_{1-x}\text{CoO}_2$ . During charge and discharge lithium ions are shuttled electrochemically between two host electrode structures that consist of a carbonaceous (typically graphitic)  $\text{Li}_x\text{C}$  anode and a layered  $\text{Li}_{1-x}\text{CoO}_2$  cathode. These cells are inherently unsafe, particularly if heated in a charged state or if they are overcharged without protective electronic circuitry. Lithiated graphite electrodes operate at a potential very close to that of metallic lithium, and therefore are extremely reactive. One of the methods of reducing the activity of the anode (negative electrode) of lithium-ion cells is to use a metal or an intermetallic compound that reacts with lithium a few hundred millivolts above the potential of metallic lithium, such as Al, Sn and Sb or  $\text{FeSn}_2$ ,  $\text{SnSb}$ ,  $\text{Cu}_6\text{Sn}_5$ ,  $\text{Cu}_2\text{Sb}$  and  $\text{InSb}$ . The intermetallic compounds  $\text{Cu}_6\text{Sn}_5$ ,  $\text{Cu}_2\text{Sb}$  and  $\text{InSb}$  are of particular interest because it was recently discovered that 1) they operate as electrodes in

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electrochemical lithium cells by a mechanism by which lithium can be inserted into a host metal array (for example, Sn or Sb) with the concomitant extrusion of a metal (for example, Cu or In), and 2) that the reactions are reversible. In order to get acceptable capacity from intermetallic electrodes it has been necessary to prepare the powders with a small particle/grain size and an acceptably high surface area to provide a sufficiently high capacity. Common methods that have been used in the past to synthesize intermetallic electrodes are high-temperature melting/annealing followed by pulverization, high-energy ball-milling of the metal elements, or electrochemical co-deposition methods. This invention relates to a new, alternative method for preparing electrochemically active intermetallic electrode powders for lithium batteries at room temperature by a chemical solution process.

### SUMMARY OF THE INVENTION

This invention relates to a chemical method for synthesizing and processing intermetallic negative electrodes for electrochemical lithium cells and batteries. More specifically, it relates to a room-temperature solution route in which one or more metal salts are dissolved in an organic solvent followed by the addition of a metal reducing agent, such as zinc, by filtration of the solid intermetallic product and by removal of the soluble by-products to leave crystalline and electrochemically active intermetallic metal electrode powders.

In one embodiment of the invention, the intermetallic electrode can contain an excess of one or more of metal element components within the powdered electrode matrix. In a second embodiment of the invention, the powders can be synthesized in

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the presence of electrochemically inactive fillers to act as grain growth inhibitors, porosity regulators or binders, and electronically conducting additives or substrates such as other metals, hard carbons, carbon fibers, or graphites to improve the performance of the lithium cells and batteries. In a further embodiment, the invention extends to include electrochemical cells and batteries containing such negative intermetallic electrodes when synthesized according to the method of the invention.

Metal or intermetallic compounds that react with lithium are well known as electrodes for lithium batteries. These compounds have, in general, been prepared in the past by solid state reactions between the metal elements at temperatures either close to, or slightly above, the melting points of the elements, as described, for example, by Wang, Raistrick & Huggins in the *Journal of the Electrochemical Society*, Vol. 133, pg. 457 (1986). Alternatively, they have been prepared by high-energy ball-milling at lower temperature, as described, for example, by O. Mao, R.A. Dunlap & J.R. Dahn in the *Journal of the Electrochemical Society*, Vol. 146, pg. 405 (1999). It has been generally found that electrodes prepared and annealed at high temperature and then rapidly quenched to room temperature are highly crystalline and do not provide as good an electrochemical capacity as intermetallic electrodes that are prepared by high-energy ballmilling and which are characterized by higher surface area, crystal inhomogeneities and defects. High surface area electrodes can also be prepared by electrochemical co-deposition techniques as disclosed by Besenhard, Yang and Winter in *J. Power Sources*, Vol. 68, pg. 87 (1997). A disadvantage of high-temperature, high-energy ball-billing and electrochemical co-deposition techniques is that they all require

additional electrical energy to produce electrode particles of the required dimension and electrochemical activity. Moreover, the additional electrical energy required in these techniques provides an additional and unwanted cost burden on electrode fabrication, and hence on the overall cost cell and battery products. In particular, when intermetallic electrodes in which the structure of the parent compound plays an important role are prepared, it is important that the synthesis method can yield products in which crystal in homogeneities and defects can be controlled. This invention relates to such a method which is both simple and cost-effective; it involves the chemical reduction of metal salts at temperatures close to room temperature, and thus eliminates the need for additional electrical energy required by high-temperature methods, high-energy ball-milling and electrochemical co-deposition.

The principles of this invention are described with respect to three electrode systems: 1) copper-tin,  $\text{Cu}_6\text{Sn}_5$ , and substituted compounds  $\text{Cu}_{6-x}\text{M}_x\text{Sn}_5$  ( $\text{M}=\text{Fe}, \text{Ni}$ ,  $x=1$ ), 2) indium antimonide,  $\text{InSb}$ , and 3) copper antimonide,  $\text{Cu}_2\text{Sb}$ .

### **BRIEF DESCRIPTION OF THE DRAWINGS**

The invention consists of certain novel features and a combination of parts hereinafter fully described, illustrated in the accompanying drawings, and particularly pointed out in the appended claims, it being understood that various changes in the details may be made without departing from the spirit, or sacrificing any of the advantages of the present invention.

FIGURE 1(a)-(e) are representations of the powder X-ray diffraction patterns ( $\text{CuK}\alpha$  radiation) of the intermetallic electrode products, synthesized in accordance with the

invention, of a)  $\text{Cu}_6\text{Sn}_5$ , b)  $\text{Cu}_5\text{NiSn}_5$ , c)  $\text{Cu}_5\text{FeSn}_5$ , d)  $\text{InSb}$  and e)  $\text{Cu}_2\text{Sb}$ ;

FIGURE 2(a)-(e) are graphical representations of the discharge/charge voltage profiles for the first ten cycles of the following cells in which the intermetallic electrodes were synthesized according to the invention, a)  $\text{Li/Cu}_6\text{Sn}_5$ , b)  $\text{Li/Cu}_5\text{NiSn}_5$ , c)  $\text{Li/Cu}_5\text{FeSn}_5$ , d)  $\text{Li/InSb}$  and e)  $\text{Li/Cu}_2\text{Sb}$ ;

FIGURE 3 is a graphical representation of the capacity versus cycle number for the first ten cycles of the following cells a)  $\text{Li/Cu}_6\text{Sn}_5$ , b)  $\text{Li/Cu}_5\text{NiSn}_5$ , c)  $\text{Li/Cu}_5\text{FeSn}_5$ , d)  $\text{Li/InSb}$  and e)  $\text{Li/Cu}_2\text{Sb}$  in which the intermetallic electrodes were synthesized according to the invention;

FIGURE 4 depicts a schematic representation of an electrochemical cell; and

FIGURE 5 depicts a schematic representation of a battery consisting of a plurality of cells connected electrically in series and in parallel.

### DESCRIPTION OF THE PREFERRED EMBODIMENTS

This invention relates to a chemical method for synthesizing and processing intermetallic electrodes for lithium cells and batteries. More specifically, it relates to a solution route that involves the reduction of one or more soluble metal salts, preferably two or more soluble metal salts, by a metal reducing agent such as magnesium or zinc, to produce crystalline and electrochemically active intermetallic powders. The salts are preferably metal chlorides. A modification of this method has been used in the past to synthesize the III-V semiconductor  $\text{InAs}$  as disclosed by Li, Duan, Qian, Yang, Ji and Li in the Journal of the American Chemical Society, Volume 119, pg. 7869 (1997). However, although the use of zinc as a reducing agent for transition metals is well known as

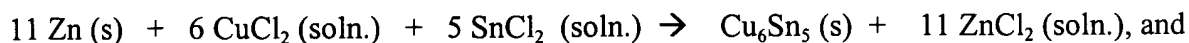
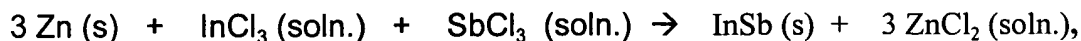
described by Brown and Le May, Jr, in Chemistry: The Central Science, Prentice Hall (1977), such a procedure has not, to the best of our knowledge, been exploited advantageously for fabricating electrochemically active electrodes for rechargeable lithium batteries. Moreover, by using this technique for improving battery performance, the electrode powders can be synthesized with an excess of one or more of the metal components in the structure or in the presence of electrochemically inactive fillers to act as grain growth inhibitors, porosity regulators or polymeric binders, and electronically conducting additives or substrates such as other metals, hard carbons, carbon fibers, or graphites.

The synthesis procedure of this invention differs from standard metallurgical processing routes that are typically used to make intermetallic compounds, such as ball milling, high temperature melt/anneal reactions or electrochemical co-deposition. The compounds produced by the method of this invention are electrochemically active and are used as the negative electrode (anode) of a lithium cell or battery. By way of example, the negative electrodes can have structures related to those of NiAs, Ni<sub>2</sub>In, ZnS (zinc-blende) and Cu<sub>2</sub>Sb, and contain elements such as Cu and Sn, as in Cu<sub>6</sub>Sn<sub>5</sub> (intermediate NiAs/Ni<sub>2</sub>In-type structure), or In and Sb as in InSb, or Cu and Sb as in Cu<sub>2</sub>Sb. Other elements may be incorporated into the intermetallic structures by substitution. For example, in the copper-tin system Cu<sub>6-x</sub>M<sub>x</sub>Sn<sub>5</sub> (0<x<~3), the M substituent can be one or more first row transition metal elements, such as Mn, Fe, Co, Ni and Zn. The synthesis method has several advantages over the more conventional ball-milling method, the high-temperature melt/anneal technique and electrochemical co-deposition because the

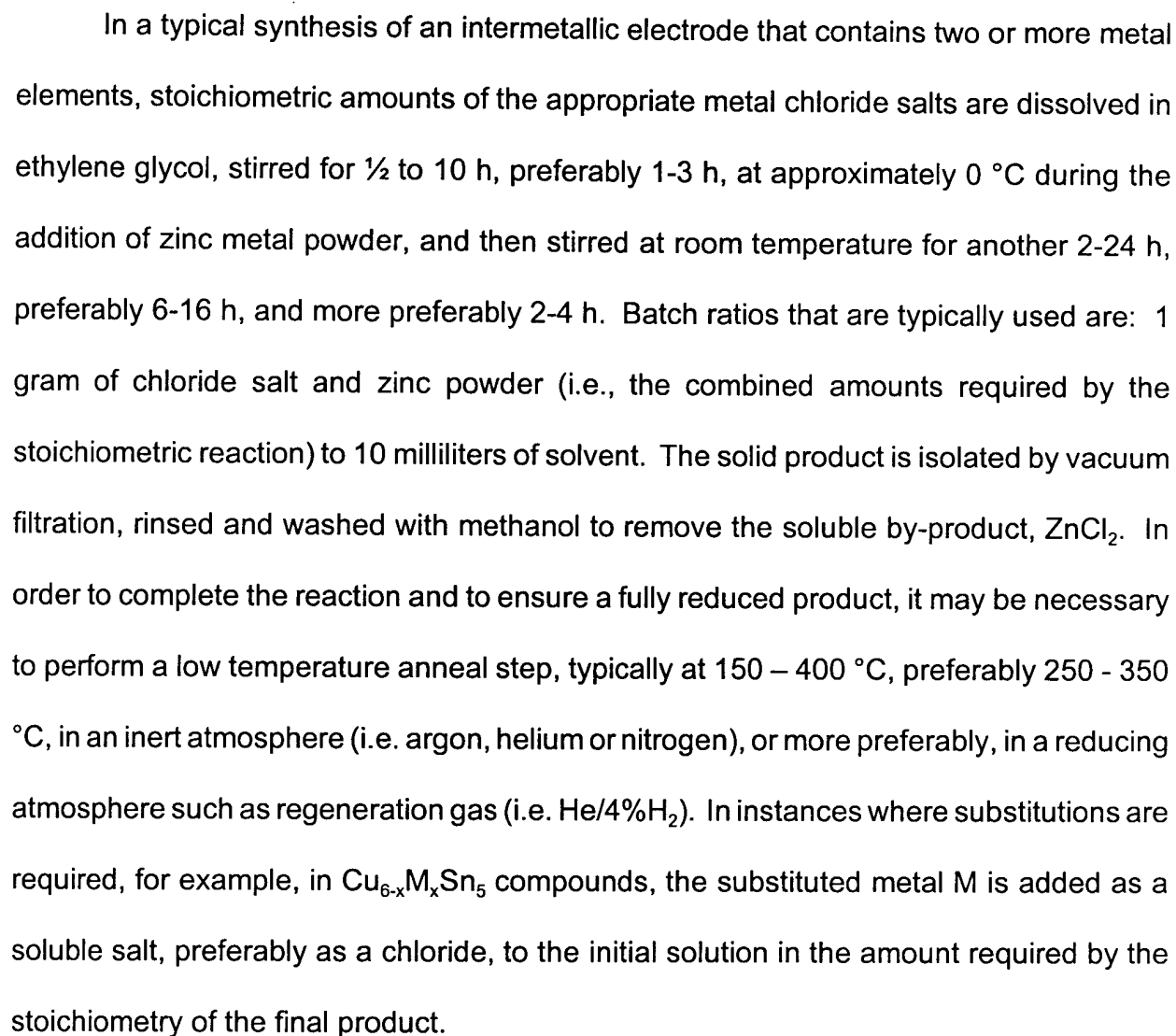
reaction is solution based and starts from molecular precursors; moreover, with the solution-based technique, metal substitutions can be easily made, resulting in essentially phase-pure products. It has also been established that the synthesis technique of the invention allows morphological control of the intermetallic particles that can be achieved at a micron and sub-micron level. The resulting intermetallic powders can be easily laminated into working electrodes for lithium cells and batteries.

According to the invention, the synthesis method involves first, the dissolution of one or more appropriate metal salts, preferably metal chlorides, in organic solvents such as ethylene glycol, ethanol, glycerol and/or xylenes, to form a solution, followed by the addition of a metal such as magnesium or zinc as reducing agent to precipitate the intermetallic product. Thereafter, the precipitated product is removed from the solution and formed into an electrode using well known techniques. The metal chlorides are preferably selected from those of the transition metal elements and/or the group IIIa, IVa and Va elements., and more preferably from Al, In, Sn, Sb, Cr, Mn, Fe, Co, Ni, Cu and Zn. The process can be used for small-scale synthesis, typically 5-10 gm, or large-scale synthesis, for example, up to a batch size of 1 kg, or larger.

The principles of this invention are described with respect to the synthesis of InSb,  $\text{Cu}_6\text{Sn}_5$  and substituted compounds, and  $\text{Cu}_2\text{Sb}$ . The reactions for the formation of InSb from indium trichloride and antimony trichloride,  $\text{Cu}_6\text{Sn}_5$  from copper dichloride and tin dichloride, and  $\text{Cu}_2\text{Sb}$  from copper dichloride and antimony trichloride, are as follows:

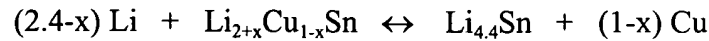
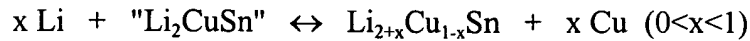
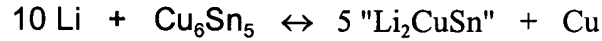






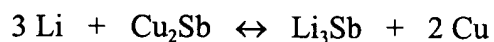
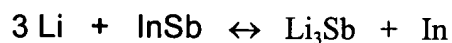
In one embodiment of the invention, the composition of the intermetallic electrode can be selected such that it is fabricated with one or more additional metal components, i.e., more than the amount required by the stoichiometry of the pure intermetallic compound. For example, a copper-tin ( $\text{Cu}_6\text{Sn}_5$ ) electrode reacts in a lithium cell in a series of reactions in which copper is displaced from a framework of Sn atoms. Under ideal conditions, the first reaction is believed to take place predominantly by a topotactic

process, whereby lithium is inserted into the NiAs-type structure to yield a lithiated zinc-blende-type structure resembling  $\text{Li}_2\text{CuSn}$ , with the concomitant extrusion of a small amount of copper; thereafter, further Cu is displaced from an Sn framework according to the generalized ideal reactions:



Although these reactions are electrochemically reversible, some of the extruded Cu particles can lose electrical contact with the parent electrode structure, which compromises the coulombic efficiency and reversibility of the electrochemical reaction. Therefore, it is believed that the coulombic efficiency and reversibility of the reaction and hence the cycle life of the cell can be enhanced if the initial parent electrode is fabricated with additional copper. In this respect, the synthesis of electrodes can be adapted to yield modified electrode compositions, such as  $\text{Cu}_6\text{Sn}_5 \bullet \delta\text{Cu}$  in which the additional  $\delta\text{Cu}$  is present in finely divided form and in intimate contact with the  $\text{Cu}_6\text{Sn}_5$  particles. In practice, the value of  $\delta$  can vary, but is selected preferably such that the additional copper content in the intermetallic electrode is less than 50 percent, and more preferably, less than 20 percent of the total mass of the electrode.

By analogy, for InSb and  $\text{Cu}_2\text{Sb}$  electrodes, the overall reactions for the extrusion of In and Cu from an essentially invariant face-centered-cubic Sb array of the parent structure are:



Therefore, for InSb and Cu<sub>2</sub>Sb electrodes, it is advantageous to add additional In and Cu, respectively, to the initial electrodes, preferably less than 20 percent by mass, to enhance the coulombic efficiency and rechargeability of the electrodes in a lithium cell.

In the second embodiment of the invention, the synthesis of the intermetallic electrodes can be carried out in the presence of electrochemically inactive fillers to act as grain growth inhibitors or porosity regulators, such as 1) an inert metal oxide, for example Al<sub>2</sub>O<sub>3</sub> or MgO, 2) polymeric binders, for example, teflon (PTFE) or polyvinylidene fluoride (PVDF) and 3) electronically conducting additives or substrates such as other metals, hard carbons, carbon fibers, or graphites.

The following examples describe the principles of the invention as contemplated by the inventors, but they are not to be construed as limiting examples.

### EXAMPLE 1

For the synthesis of Cu<sub>6</sub>Sn<sub>5</sub>, Cu<sub>5</sub>NiSn<sub>5</sub>, Cu<sub>5</sub>FeSn<sub>5</sub>, InSb and Cu<sub>2</sub>Sb, stoichiometric amounts of the metal chloride salts totaling 1 gram were dissolved in 10 ml ethylene glycol, stirred for 3 h at 0 °C during the addition of zinc metal powder, and then stirred at room temperature for another 16 hours. The solid products were isolated by vacuum filtration, rinsed and washed with methanol to remove the soluble by-product, ZnCl<sub>2</sub>. The products were dried at room temperature under vacuum. The

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$\text{Cu}_6\text{Sn}_5$ ,  $\text{Cu}_5\text{NiSn}_5$ ,  $\text{Cu}_5\text{FeSn}_5$ ,  $\text{InSb}$  powders were annealed under argon gas at  $400^\circ\text{C}$ , whereas the  $\text{Cu}_2\text{Sb}$  powder was used, as is, after vacuum drying at room-temperature. The X-ray diffraction patterns (CuK $\alpha$  radiation) of the five intermetallic products, namely,  $\text{Cu}_6\text{Sn}_5$ ,  $\text{Cu}_5\text{NiSn}_5$ ,  $\text{Cu}_5\text{FeSn}_5$ ,  $\text{InSb}$  and  $\text{Cu}_2\text{Sb}$  are shown in Figure 1 (a-e). As can be seen from the figures, the X-ray diffraction patterns of the  $\text{Cu}_{6-x}\text{M}_x\text{Sn}_5$  products show minor concentrations of  $\text{Cu}_3\text{Sn}$  and Cu by-products, while the  $\text{InSb}$  sample shows minor concentrations of Sb and  $\text{In}_2\text{O}_3$  by-products; a small amount of metallic Sb is observed in the  $\text{Cu}_2\text{Sb}$  product. It is believed that annealing in a reducing atmosphere, for example 4 volume percent hydrogen in helium, removes surface contaminants, such as surface oxides.

## EXAMPLE 2

The electrochemical properties of the intermetallic  $\text{Cu}_6\text{Sn}_5$ ,  $\text{Cu}_5\text{NiSn}_5$ ,  $\text{Cu}_5\text{FeSn}_5$ ,  $\text{InSb}$  and  $\text{Cu}_2\text{Sb}$  products were evaluated as electrodes in lithium cells having the following configuration Li/EC:DEC (1:1), 1 M  $\text{LiPF}_6$ /intermetallic electrode. Each electrode consisted of 84% intermetallic compound, 8% carbon, 8% PVDF binder. Cells were charged and discharged at constant current at  $0.2\text{ mA/cm}^2$ . The data in Figures 2 and 3 show the electrochemical performance of the electrodes when synthesized by the method of this invention; the voltage profiles of the various cells in Figure 2 are consistent with those of  $\text{Cu}_6\text{Sn}_5$ ,  $\text{Cu}_5\text{NiSn}_5$ ,  $\text{Cu}_5\text{FeSn}_5$ ,  $\text{InSb}$  and  $\text{Cu}_2\text{Sb}$  electrodes when synthesized by high-energy ball-milling techniques.

This invention extends to include non-aqueous electrochemical lithium cells and batteries containing such intermetallic electrodes when synthesized according to the

said method. A representation of such a cell is shown schematically in Figure 4, the cell represented by the numeral 10 having a negative electrode 12 separated from a positive electrode 16 by an electrolyte 14, all contained in an insulating housing 18 with suitable terminals (not shown) being provided in electronic contact with the negative electrode 12 and the positive electrode 16. Binders and other materials normally associated with both the electrolyte and the negative and positive electrodes are well known in the art and are not described herein, but are included as is understood by those of ordinary skill in this art. Figure 5 shows a schematic illustration of one example of a battery in which two strings of electrochemical lithium cells, described above, are arranged in parallel, each string comprising three cells arranged in series.

While there has been disclosed what is considered to be the preferred embodiment of the present invention, it is understood that various changes in the details may be made without departing from the spirit, or sacrificing any of the advantages of the present invention.

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